

# FACTS

## WHAT DOES FORTIS CAPITAL MANAGEMENT LLC DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number, and financial information
- Investment holdings, investment experience and account transactions
- Financial goals and financial history

When you are *no longer* our customer, we continue to share your information as described in this notice.

### How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Fortis Capital Management choose to share; and whether you can limit this sharing.

| Reasons we can share your personal information                                                                                                                                              | Does Fortis Capital Management share? | Can you limit this sharing? |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-----------------------------|
| <b>For our everyday business purposes—</b><br>such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                                   | No                          |
| <b>For our marketing purposes—</b><br>to offer our products and services to you                                                                                                             | Yes                                   | No                          |
| <b>For joint marketing with other financial companies</b>                                                                                                                                   | No                                    | We don't share              |
| <b>For our affiliates' everyday business purposes—</b><br>information about your transactions and experiences                                                                               | No                                    | No                          |
| <b>For our affiliates' everyday business purposes—</b><br>information about your creditworthiness                                                                                           | No                                    | We don't share              |
| <b>For nonaffiliates to market to you</b>                                                                                                                                                   | No                                    | We don't share              |

### Questions?

Call 425.453.5010 or email [info@fortis.capital](mailto:info@fortis.capital)

## Who we are

### Who is providing this notice?

Fortis Capital Management LLC and its affiliated entities, Glacier Peak Capital LLC, Fortis Insurance Services LLC, Fortis Mortgage Advisors LLC, and Fortis Accounting Services LLC (collectively, "Fortis")

## What we do

### How does Fortis protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you.

### How does Fortis collect my personal information?

We collect your personal information, for example, when you

- Open an account or transfer assets to us
- Receive financial planning services
- Provide account statements or other documents to us

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Fortis Capital Management, Glacier Peak Capital, Fortis Accounting Services, Fortis Insurance Services, and Fortis Mortgage Advisors are affiliated through common ownership.

### Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Our nonaffiliated business partners include Charles Schwab & Co., Inc., and other firms that provide clearing & custody

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- We do not have any such agreements in place.

## Other important information

You may have privacy protections under applicable state laws. To the extent the state laws apply, we will comply with them when we share information about you, and in some case may be limited by you.

**For Insurance Customers**

The term “Information” in this part means customer information obtained in an insurance transaction. We may give your information to state insurance officials, law enforcement, group policy holdings about claims experience or auditors as the law allows or requires. We may give your information to insurance support companies that may keep it or give it to others. We may share medical information so we can learn if you qualify for coverage, process claims or prevent fraud or if you say we can. To see your information, email [info@fortis.capital](mailto:info@fortis.capital).